

**BEFORE THE**  
**MISSOURI ETHICS COMMISSION**

MISSOURI ETHICS COMMISSION,	)	
	)	
Petitioner,	)	
	)	Case No. 18-0082-I
v.	)	18-0089-I
	)	18-0091-I
BRUCE FRANKS JR.,	)	19-0001-A
	)	
and	)	
	)	
BRUCE FRANKS FOR STATE REP,	)	
	)	
Respondents.	)	

**JOINT STIPULATION OF FACTS, WAIVER OF HEARING**  
**BEFORE THE MISSOURI ETHICS COMMISSION, AND**  
**CONSENT ORDER WITH JOINT PROPOSED**  
**FINDINGS OF FACT AND CONCLUSIONS OF LAW**

The undersigned parties jointly stipulate to the facts and consent to the action set forth below.

The undersigned Respondents, Bruce Franks Jr. and Bruce Franks for State Rep, acknowledge that they have received and reviewed a copy of the First Amended Complaint filed by the Petitioner in this case, and the parties submit to the jurisdiction of the Missouri Ethics Commission.

The undersigned Respondents further acknowledge that they are aware of the various rights and privileges afforded by law, including but not limited to: the right to appear and be represented by counsel; the right to have all allegations against Respondents be proven upon the record by competent and substantial evidence; the right to cross-examine any witnesses appearing at the hearing against Respondents; the right to present evidence on Respondents' behalf at the hearing; and the right to a decision upon the record of the hearing. Being aware of these rights provided to

Respondents by operation of law, the undersigned Respondents knowingly and voluntarily waive each and every one of these rights and freely enter into this Joint Stipulation of Facts, Waiver of Hearing before the Missouri Ethics Commission, and Consent Order with Joint Proposed Findings of Fact and Conclusions of Law, and agree to abide by the terms of this document.

I.

Based upon the foregoing, the Petitioner and the undersigned Respondents jointly stipulate to the following and request that the Missouri Ethics Commission adopt as its own the Joint Proposed Findings of Fact and the Joint Proposed Conclusions of Law, as follows:

**JOINT PROPOSED FINDINGS OF FACT**

1. The Missouri Ethics Commission is an agency of the State of Missouri established pursuant to Section 105.955, RSMo, in part for the purpose of enforcing the provisions of Chapter 130, RSMo.<sup>1</sup>

2. Respondent Bruce Franks Jr. was a successful candidate for Missouri State Representative District 78 in the November 2016 general election, August 2018 primary election, and the November 2018 general election.

3. Respondent Bruce Franks Jr. registered his current candidate committee, Bruce Franks for State Rep, by filing a Statement of Committee Organization with the Missouri Ethics Commission on in January 2016. The Statement of Committee Organization was last amended in November 2018.

4. In July 2018, Respondents amended the Statement of Committee Organization and listed a new treasurer, which is the present treasurer.

---

<sup>1</sup> Unless noted otherwise, all statutory references are to the Revised Statutes of Missouri 2000 (Supp. 2018).

5. On March 31, 2016, Respondents amended the Statement of Committee Organization and listed US Bank, with an account number ending in \*1720, as the official fund depository account. This account was opened on February 22, 2016 and closed on August 23, 2017.

6. On August 25, 2017, Respondents opened a committee account at First Financial Federal Credit Union, with an account number ending in \*1811. This account was closed on July 2, 2018. This account was not reported to the Missouri Ethics Commission.

7. On November 19, 2018, Respondents amended the Statement of Committee Organization and listed First Financial Federal Credit Union, with an account number ending in \*3695, as the official fund depository account. This account was opened on April 13, 2018.

8. Pursuant to Sections 105.959 and 105.961, RSMo, the Commission's staff received three complaints filed with the Commission. The Commission's staff investigated the complaints, reports, and statements filed with the Commission and reported the investigation findings to the Commission.

9. Based on the investigation reports, the Commission determined that there were reasonable grounds to believe that violations of the law had occurred, and it therefore authorized a hearing in this matter pursuant to Section 105.961.3, RSMo.

#### COUNT I

##### *Failure to amend the Statement of Committee Organization to reflect a change in the official fund depository account*

10. Respondents failed to timely report the opening and closing of the committee's bank accounts ending in \*1720 at US Bank and \*3695 at First Financial Federal Credit Union to the Missouri Ethics Commission within twenty days after the changes occurred.

11. Respondents failed to report the opening and the closing of the committee's bank account ending in \*1811 at First Financial Federal Credit Union.

*Reporting contributions and expenditures that were not found in Respondents' bank accounts*

12. Between February 2016 and October 2018, Respondents reported the receipt of contributions, which could not be found in the committee's bank accounts.

13. Respondents also reported that, between July 2016 and August 2018, they made expenditures, which could not be found in the committee's bank account.

**COUNT II**

*Unauthorized use of campaign contributions*

14. Respondents made twenty-eight transactions, totaling \$2,392.80, which appeared to be for personal use. This amount includes, but is not limited to, checks written to "cash" or to Bruce Franks, Jr., payments made to a movie theater, an animal hospital, a family portrait studio, and for temporary lodging in 2017. Of these transactions, the iTunes transaction made on November 13, 2018 was the only one reported on a disclosure report.

Expenditure Name	Amount	Date
Priceline Hotel	\$88.97	10/24/17
Priceline Hotel	\$159.98	10/27/17
Hollywood Casino Hotel Maryland Height	\$20.00	10/30/17
Hollywood Casino Hotel Maryland Height	\$20.00	11/1/17
Union Station Hotel	\$38.00	11/6/17
Union Station Hotel	\$38.00	11/7/17
Touchtunes.helpshift.com	\$10.00	2/20/18
Touchtunes.helpshift.com	\$5.00	2/27/18
Touchtunes.helpshift.com	\$10.00	2/27/18
Touchtunes.helpshift.com	\$5.00	3/3/18
Touchtunes.helpshift.com	\$20.00	3/17/18
Touchtunes.helpshift.com	\$10.00	3/20/18
Lil Angels Photography	\$134.78	4/21/18
AMC Esquire 7	\$23.01	4/22/18
Executive Bowling	\$14.00	6/30/18
Banfield Pet Hospital	\$74.19	7/17/18
Apl* Itune.com	\$33.95	11/13/18

Bruce Franks, Jr.	\$200.00	11/13/18
Bruce Franks, Jr.	\$250.00	11/28/18
Bruce Franks, Jr.	\$200.00	11/28/18
Bruce Franks, Jr.	\$150.00	11/29/18
Bruce Franks, Jr.	\$200.00	12/5/18
Apl* Itune.com	\$24.96	12/5/18
Apl* Itune.com	\$42.96	12/7/18
Bruce Franks, Jr.	\$160.00	12/11/18
Check written to cash	\$300.00	12/22/18
Check written to cash	\$120.00	12/24/18
Bruce Franks, Jr.	\$40.00	12/26/18
<b>Total</b>	<b>\$2,392.80</b>	

### **COUNT III**

#### *Cash contributions and expenditures in excess of limits*

15. Respondents accepted three cash contributions, totaling \$1,347.00, which exceed the \$100.00 allowable amount for each contribution received.

Report Name	Date Filed	Name	Amount	Date
Not Reported	N/A	Cash deposit	\$1,007.00	10/11/16
Not Reported	N/A	Cash deposit	\$180.00	2/1/17
Not Reported	N/A	Cash deposit	\$160.00	2/3/17
<b>Total</b>			<b>\$1,347.00</b>	

16. Respondents reported the acceptance of three cash contributions, totaling \$462.00, which exceed the \$100.00 allowable amount for each contribution received.

Report Name	Name	Amount	Date
January Quarterly 2018	Cash deposit	\$220.00	12/8/17
April Quarterly 2018	Cash deposit	\$117.00	3/29/18
8 Day Before General Election - 11/6/18	Cash deposit	\$125.00	10/22/18
<b>Total</b>		<b>\$1,809.00</b>	

17. Respondents made ten cash expenditures, totaling \$3,452.68, which exceeded the \$50 allowable amount for each expenditure made. These expenditures included payments to campaign workers, rental space, filing fees, lodging, and printings.

Report Name	Date Filed	Name	Amount	Date
April Quarterly 2016	4/15/16	Salena Burch	\$60.00	3/15/16
April Quarterly 2016	4/15/16	North America Zone	\$1,200.00	3/16/16

April Quarterly 2016	4/15/16	Dana Kelly	\$500.00	3/16/16
April Quarterly 2016	4/15/16	Board of Elections	\$120.00	N/A
April Quarterly 2016	4/15/16	Inkspot Printing	\$59.77	N/A
July Quarterly 2016	7/18/16	Robert Dillard	\$500.00	4/14/16
Not Reported	N/A	Cash withdrawal	\$500.00	1/19/18
January Quarterly 2019	1/15/19	ATM withdrawal	\$92.91	12/2/18
Not Reported	N/A	Check written to cash	\$300.00	12/22/18
Not Reported	N/A	Check written to cash	\$120.00	12/24/18
<b>Total</b>			<b>\$3,452.68</b>	

#### **COUNT IV**

##### *Failure to accurately report money on hand and indebtedness*

18. Respondents inaccurately reported the beginning money on hand on seven reports in 2016, three in 2017, eight in 2018, and one in 2019.

19. Respondents inaccurately reported the ending money on hand on eight reports in 2016, three in 2017, eight in 2018, and one in 2019.

			Reported		Actual	
Report Name	From	To	MOH Beginning of Period	MOH End of Period	MOH Beginning of Period	MOH End of Period
2016						
April Quarterly Report	1/15/16	3/31/16	\$0.00	\$4,764.16	\$0.00	\$4,741.26
July Quarterly Report	4/1/16	6/30/16	\$4,764.16	\$2,513.99	\$4,741.26	\$3,175.48
8 Day Before Primary - 8/2/16	7/1/16	7/21/16	\$2,513.99	\$2,494.14	\$3,175.48	\$3,705.10
30 Day After Primary - 8/2/16	7/22/16	8/27/16	\$2,494.14	\$8.59	\$3,705.10	(\$946.89)
8 Day Before Special Primary - 9/16/16	8/28/16	9/4/16	\$8.59	\$3,746.80	(\$946.89)	\$2,766.32
October Quarterly Report	9/5/16	9/30/16	\$3,746.80	\$2,979.33	\$2,766.32	\$2,283.97
8 Day Before General - 11/8/16	10/12/16	10/27/16	\$2,429.33	\$2,045.63	\$1,806.14	\$1,498.34
30 Day After General - 11/8/16	10/28/16	12/3/16	\$3,045.63	\$5,582.02	\$1,498.34	\$49.71
2017						
April Quarterly Report	12/4/16	3/31/17	\$4,184.02	\$3,573.02	\$49.71	\$125.27
July Quarterly Report	4/1/17	6/30/17	\$3,573.02	\$2,923.02	\$125.27	\$948.07
October Quarterly Report	7/1/17	9/30/17	\$1,045.63	\$6,266.47	\$948.07	\$10,746.00

<b>2018</b>						
January Quarterly Report	10/1/17	12/31/17	\$3,416.47	\$10,549.12	\$10,746.00	\$469.94
April Quarterly Report	1/1/18	3/31/18	\$12,952.77	\$14,689.32	\$469.94	\$2,007.48
July Quarterly Report	4/1/18	6/30/18	\$14,189.32	\$17,681.40	\$2,007.48	\$2,111.21
8 Day Before Primary - 8/7/18	7/1/18	7/26/18	\$13,531.40	\$13,991.63	\$2,111.21	\$4,844.84
30 Day After Primary - 8/7/18	7/27/18	9/1/18	\$13,991.63	\$9,013.95	\$4,844.84	\$1,125.72
October Quarterly Report	9/2/18	9/30/18	\$0.00	\$425.33	\$1,125.72	\$2,042.52
8 Day Before General - 11/6/18	10/1/18	10/25/18	\$425.33	\$944.14	\$2,042.52	\$2,567.15
30 Day After General - 11/6/18	10/26/18	12/1/18	\$944.14	\$2,223.58	\$2,567.15	\$1,089.63
<b>2019</b>						
January Quarterly Report	12/2/18	12/31/18	\$123.58	\$3,210.86	\$1,089.63	\$356.35

#### **COUNT V**

##### *Failure to timely and accurately file campaign finance disclosure reports*

20. Respondents filed one Limited Activity Statement in 2016 and two in 2017 that should have been full disclosure reports. In each reporting period, there were contributions and/or expenditures that exceeded \$500.00. Respondents have since amended the 2016 Limited Activity Statement to a full disclosure report on September 7, 2018 and the two 2017 Limited Activity Statements to full disclosure reports on September 11, 2018.

21. Respondents failed to timely file ten 24 Hour Notices of Late Contributions/Loans Received for contributions, totaling \$7,500.00. Two of the notices were required for the August 2, 2016 primary election, four for the September 16, 2016 special election, two for the November 8, 2016 general election, and two for the August 7, 2018 primary election, respectively.

<b>Report</b>	<b>Contributor</b>	<b>Amount</b>	<b>Due Date</b>
<b>2016</b>			
24 Notice of Late Contribution/Loans Received	Nick Apperson	\$1,000.00	7/23/16
24 Notice of Late Contribution/Loans Received	Michael Schmidt	\$500.00	7/24/16

24 Notice of Late Contribution/Loans Received	David Boger	\$1,000.00	9/5/16
24 Notice of Late Contribution/Loans Received	Mobilize Missouri, LLC	\$500.00	9/9/16
24 Notice of Late Contribution/Loans Received	Venture Time, LLC	\$500.00	9/10/16
24 Notice of Late Contribution/Loans Received	Koah Media	\$600.00	9/14/16
24 Notice of Late Contribution/Loans Received	Committee to Elect Reed	\$1,000.00	10/28/16
24 Notice of Late Contribution/Loans Received	Veronica O'Brien	\$1,400.00	11/1/16
<b>2018</b>			
24 Notice of Late Contribution/Loans Received	Missouri Senior PAC	\$500.00	7/28/18
24 Notice of Late Contribution/Loans Received	Major Brands Inc. PAC	\$500.00	7/31/18

## COUNT VI

### *Failure to timely report contributions received*

22. Respondents failed to timely report two hundred sixty-six non-itemized contributions, totaling \$8,919.68. Attachment 1.

23. Respondents failed to timely report forty itemized contributions, totaling \$16,623.00, and one \$500.00 in-kind contribution. Attachment 2.

24. Between September 2016 and December 2018, Respondents failed to timely report seven non-itemized miscellaneous receipts, totaling \$379.59, across six different full disclosure reports. These receipts appear to be refunds for overdraft fees, other refunds, and adjustments.

25. Respondents inaccurately reported one hundred forty-three contributions totaling \$27,725.60. Of those, ninety-nine contributions were reported with an incorrect aggregate amount; because they had aggregated to more than \$100.00, the contributor information was required. Some that were itemized had the wrong contributor information, aggregate amount or were not aggregated at all. Others were reported with the incorrect contribution amount or name, employer/occupation information or were incorrectly not marked as coming from a committee.



## COUNT VII

### *Failure to timely report expenditures and contributions made*

26. Respondents failed to timely report three hundred eighty-one non-itemized expenditures totaling \$5,479.58, including a \$25 contribution made. Attachment 3.

27. Respondents failed to timely report one hundred fifteen itemized expenditures totaling \$43,931.32, including a \$250.00 contribution made. Attachment 4.

28. Respondents inaccurately reported forty-seven expenditures totaling \$17,213.29. Of these, there were expenditures reported with the correct purpose, but did not state that they were actually reimbursements to the candidate or treasurer who had made the purchases with their personal funds. There were also expenditures reported with incorrect amounts, names, addresses or aggregate amounts. Some were reported together as one expenditure when one or all should have been itemized.

29. On December 6, 2017, Respondents held a fundraiser at the Tropicana Bowl. The only expenditure reported on the Fundraising Statement was for \$350.00 for the purpose of "fundraiser." However, the bank records only show a December 7, 2017 payment to Tropicana Lanes for \$470.00. Respondents failed to include a \$120.00 payment to Tropicana Bowl for the food.

## COUNT VIII

### *Failure to maintain records in accordance with accepted normal bookkeeping procedures*

30. Respondents did not provide invoices, checks, receipts, and deposit records necessary to verify some of the contributions that Respondents received and expenditures that Respondents made.

## JOINT PROPOSED CONCLUSIONS OF LAW

### COUNT I

#### *Failure to amend the Statement of Committee Organization to reflect a change in the official fund depository account*

31. Every committee must maintain a single "official fund depository within this state which shall be a federally or state-chartered bank, a federally or state-chartered savings and loan association, or a federally or state-chartered credit union in which the committee shall open and thereafter maintain at least one official depository account in its own name," § 130.021.4(1), RSMo.

32. The statement of committee organization must include the "name and mailing address of its depository, and the name and account number of each account the committee has in the depository." § 130.021.5, RSMo.

33. If there is any change to the official fund depository account number, "an amended statement of organization shall be filed within twenty days after the change occurs, but no later than the date of the filing of the next report required to be filed by that committee." § 130.021.7, RSMo.

#### *Reporting contributions and expenditures that were not found in Respondents' bank accounts*

34. All contributions received by a candidate "and any of the candidate's own funds to be used in support of the person's candidacy shall be deposited in a candidate committee depository account established pursuant to the provisions of subsection 4 of this section." § 130.021.2, RSMo.

35. "All contributions which the committee receives in money, checks and other negotiable instruments shall be deposited in a committee's official depository account.

Contributions shall not be accepted and expenditures shall not be made by a committee except by or through an official depository account[.]” § 130.021.4(1), RSMo.

36. There is probable cause to believe that Respondents violated Sections 130.021.2, 130.021.4(1), 130.021.5, and 130.021.7, RSMo, by failing to timely report the opening and closing of committee bank accounts, and by reporting contributions and expenditures that were not found in the committee’s bank accounts.

## **COUNT II**

### *Unauthorized use of campaign contributions*

37. Committee funds may be used only for purposes allowed by law, and expenses incurred in connection with the duties of a holder of elective office must be “ordinary and necessary.” § 130.034.2(2), RSMo.

38. There is probable cause to believe that Respondents violated Section 130.034, RSMo, by making twenty-eight transactions, totaling \$2,392.80, that were not allowed by law because they were not “ordinary and necessary” expenses incurred in connection with the duties of a holder of elective office.

## **COUNT III**

### *Cash contributions and expenditures in excess of limits*

39. Petitioner incorporates all other paragraphs of this Complaint by reference.

40. For purposes of Chapter 130, RSMo, cash means “currency, coin, United States postage stamps, or any negotiable instrument which can be transferred from one person to another person without the signature or endorsement of the transferor.” § 130.011(4), RSMo.

41. “No contribution of cash in an amount of more than one hundred dollars shall be ... accepted from any single contributor for any election by a ... candidate committee.” § 130.031.1, RSMo.

42. "Except for expenditures from a petty cash fund which is established and maintained by withdrawals of funds from the committee's depository account and with records maintained pursuant to the record-keeping requirements of section 130.036 to account for expenditures made from petty cash, each expenditure of more than fifty dollars, except an in-kind expenditure, shall be made by check drawn on the committee's depository and signed by the committee treasurer, deputy treasurer or candidate." § 130.031.2, RSMo.

43. There is probable cause to believe that Respondents violated Section 130.031.2, RSMo, by accepting cash contributions and making cash expenditures that all exceeded allowable limits.

#### COUNT IV

##### *Failure to accurately report money on hand and indebtedness*

44. Pursuant to Sections 130.041.1(2) and 130.041.1(5), RSMo, Respondents were required to disclose the amount of cash on hand as of the beginning of the reporting period and the closing date of the reporting period.

45. There is probable cause to believe that Respondents violated Sections 130.041.1(2) and 130.041.1(5), RSMo, by failing to accurately report beginning and ending money on hand on twenty different reports between 2016 and 2019.

#### COUNT V

##### *Failure to timely and accurately file campaign finance disclosure reports*

46. Candidate committees must file regular campaign finance disclosure reports at the following times and for the following periods:

(2) Not later than the thirtieth day after an election for a period closing on the twenty-fifth day after the election, if the committee has made any contribution or expenditure either in support of or opposition to any candidate or ballot measure; except that, a successful candidate who takes office prior to the twenty-fifth day after the election shall have complied with the report requirement of this subdivision if a disclosure report is filed by such candidate

and any candidate committee under the candidate's control before such candidate takes office, and such report shall be for the period closing on the day before taking office; and

(3) Not later than the fifteenth day following the close of each calendar quarter[.]

§130.046.1, RSMo.

47. Pursuant to Section 130.046.5(2), RSMo:

No disclosure report needs to be filed for any reporting period if during that reporting period the committee has neither received contributions aggregating more than five hundred dollars nor made expenditure aggregating more than five hundred dollars and has not received contributions aggregating more than three hundred dollars from any single contributor and if the committee's treasurer files a statement with the appropriate officer that the committee has not exceeded the identified thresholds in the reporting period. Any contributions received or expenditures made which are not reported because this statement is filed in lieu of a disclosure report shall be included in the next disclosure report filed by the committee.

48. Pursuant to Section 130.050.3, RSMo:

The receipt of any late contribution or loan of more than two hundred fifty dollars by a candidate committee supporting a candidate for statewide office or by any other committee shall be reported to the appropriate officer no later than twenty-four hours after receipt. For purposes of this subsection the term "late contribution or loan" means a contribution or loan received after the closing date of the last disclosure report required to be filed before an election but received prior to the date of the election itself.

49. There is probable cause to believe that Respondents violated Sections 130.046.1, 130.046.5(2), and 130.050.3, RSMo, by filing three Limited Activity Statements that should have been full disclosure reports and failing to timely file ten 24 Hour Notices of Late Contributions/Loans Received notices.

#### COUNT VI

##### *Failure to timely report contributions received*

50. Candidate committees are required to report receipts at the times and for the periods prescribed in section 130.046, including:

(a) Total amount of all monetary contributions received which can be identified in the committee's records by name and address of each contributor. In addition, the candidate committee shall make a reasonable effort to obtain and report the employer, or occupation if self-employed or notation of retirement, of each person from whom the committee received one or more contributions which in the aggregate total in excess of one hundred dollars and shall make a reasonable effort to obtain and report a description of any contractual relationship over five hundred dollars between the contributor and the state if the candidate is seeking election to a state office or between the contributor and any political subdivision of the state if the candidate is seeking election to another political subdivision of the state;

(b) Total amount of all anonymous contributions accepted;

(c) Total amount of all monetary contributions received through fund-raising events or activities from participants whose names and addresses were not obtained with such contributions, with an attached statement or copy of the statement describing each fund-raising event as required in subsection 6 of section 130.031;

(d) Total dollar value of all in-kind contributions received;

(e) A separate listing by name and address and employer, or occupation if self-employed or notation of retirement, of each person from whom the committee received contributions, in money or any other thing of value, aggregating more than one hundred dollars, together with the date and amount of each such contribution[.]

§ 130.041.1(3), RSMo.

51. There is probable cause to believe that Respondents violated Section 130.041.1(3), RSMo, by failing to timely report contributions, by failing to timely report miscellaneous receipts, and by inaccurately reporting contributions.

#### COUNT VII

##### *Failure to timely report expenditures and contributions made*

52. Candidate committees are required to report expenditures at the times and for the periods prescribed in section 130.046, including:

(a) The total dollar amount of expenditures made by check drawn on the committee's depository;

(b) The total dollar amount of expenditures made in cash;

(c) The total dollar value of all in-kind expenditures made;

(d) The full name and mailing address of each person to whom an expenditure of money or any other thing of value in the amount of more than one hundred dollars has been made, contracted for or incurred, together with the date, amount and purpose of each expenditure. Expenditures of one hundred dollars or less may be grouped and listed by categories of expenditure showing the total dollar amount of expenditures in each category, except that the report shall contain an itemized listing of each payment made to campaign workers by name, address, date, amount and purpose of each payment and the aggregate amount paid to each such worker;

(e) A list of each loan made, by name and mailing address of the person receiving the loan, together with the amount, terms and date[.]

§ 130.041.1(4), RSMo.

53. Pursuant to Section 130.031.6(4)(e), RSMo, a committee shall report the gross receipts from a fundraiser event and a listing of the expenditures incident to the event.

54. There is probable cause to believe that Respondents violated Sections 130.041.1(4) and 130.031.6(4)(e), RSMo, by failing to timely report expenditures, by inaccurately reporting expenditures, and by inaccurately reporting an expenditure for a fundraiser event.

#### COUNT VIII

##### *Failure to maintain records in accordance with accepted normal bookkeeping procedures*

55. "The candidate, treasurer or deputy treasurer of a committee shall maintain accurate records and accounts on a current basis. The records and accounts shall be maintained in accordance with accepted normal bookkeeping procedures and shall contain the bills, receipts, deposit records, cancelled checks and other detailed information necessary to prepare and substantiate any statement or report required to be filed pursuant to this chapter." § 130.036.1, RSMo.

56. "All records and accounts of receipts and expenditures shall be preserved for at least three years after the date of the election to which the records pertain. Records and accounts

regarding supplemental disclosure reports or reports not required pursuant to an election shall be preserved for at least three years after the date of the report to which the records pertain. Such records shall be available for inspection by the campaign finance review board and its duly authorized representatives.” § 130.036.8, RSMo.

57. There is probable cause to believe that Respondents violated Sections 130.036.1 and 130.036.8, RSMo, by failing to maintain the committee’s finance records in accordance with accepted normal bookkeeping procedures, including bills, receipts, deposit records, cancelled checks and other detailed information necessary to prepare and substantiate any statement or report required under Chapter 130, RSMo.



## II.

Based on the foregoing, the parties hereto mutually agree and stipulate that the following shall constitute the order entered by the Missouri Ethics Commission in this matter. This order will be effective immediately upon the issuance of the Consent Order of the Missouri Ethics Commission without further action by any party:

1. The parties understand that the Petitioner will maintain this Joint Stipulation as an open and public record of the Missouri Ethics Commission.
2. Respondents will be required to file and amend reports and statements with the Commission.
3. The Commission shall issue its Consent Order in the form attached hereto as Exhibit A.
  - a. Respondents shall comply with all relevant sections of Chapter 130, RSMo.
  - b. It is the Order of the Missouri Ethics Commission that a fee is imposed against Respondents in the amount of \$89,105.00, pursuant to Section 105.961.4(6), RSMo. The Commission will stay imposition of \$74,936.00 of that fee on the condition that, beginning in January of 2020, Respondents pay a minimum of \$250.00 per month to the Missouri Attorney General's Office, on behalf of the Missouri Ethics Commission, until the entire remaining balance of \$14,169.00 is paid in full.
  - c. Regardless of the stay in paragraph 3.b above, if there is probable cause to believe that any Respondent committed any further violation of the campaign finance laws under Chapter 130, RSMo, within the two-year period from the date of this Consent Order, then the Respondent who committed the violation will be required to pay the remainder of the fee. The fee will due immediately upon final adjudication finding that there was probable cause to believe such Respondent has committed such a violation.
  - d. Respondents shall be jointly and severally liable for all fees imposed under this Consent Order.

4. The parties consent to the entry of record and approval of this Joint Stipulation and to the termination of any further proceedings before the Commission based upon the Complaint filed by the Petitioner in the above action.

5. Respondents, together with their heirs, successors, and assigns, do hereby waive, release, acquit and forever discharge the Missouri Ethics Commission and its attorneys of or from any liability, claim, actions, causes of action, fees, costs and expenses, and compensation, including but not limited to, a claim for attorney's fees whatsoever which Respondents or Respondents' attorney may now have or which they may hereafter have, which are based upon or arise out of the above cases.

SO AGREED:

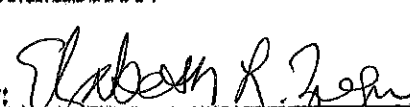
RESPONDENT BRUCE FRANKS JR.


By:  11-6-19  
Bruce Franks Jr. Date

RESPONDENT BRUCE FRANKS FOR  
STATE REP

By:  11-6-19  
Bruce Franks Jr. Date

PETITIONER MISSOURI ETHICS  
COMMISSION

By:  11/6/19  
Elizabeth L. Ziegler Date  
Executive Director

By:  11/6/19  
Brian Hamilton Date  
Attorney for Petitioner

### Attachment 1

<b>Contributor Name</b>	<b>Amount</b>	<b>Date</b>	<b>Report Not Showing Contribution</b>
Unknown	\$49.61	2/22/16	April Quarterly 2016
Jennifer Lorentz	\$25.00	3/11/16	April Quarterly 2016
Molly Metzger	\$25.00	3/11/16	April Quarterly 2016
Christopher Jamison	\$25.00	3/11/16	April Quarterly 2016
Charles Trahan	\$10.00	3/12/16	April Quarterly 2016
Jamie Jessip	\$25.00	3/13/16	April Quarterly 2016
Cynthia Jones	\$25.00	3/14/16	April Quarterly 2016
Janet Williams	\$25.00	3/16/16	April Quarterly 2016
Diana Davies	\$5.00	3/17/16	April Quarterly 2016
David Cepero	\$32.00	3/17/16	April Quarterly 2016
Ramon Williamson	\$50.00	3/18/16	April Quarterly 2016
Casey Hanson	\$20.00	3/19/16	April Quarterly 2016
Lucas Tieman	\$75.00	3/19/16	April Quarterly 2016
Kristian Blackmon	\$15.00	3/19/16	April Quarterly 2016
Tracy Cole	\$50.00	3/20/16	April Quarterly 2016
Rebecca Ragland	\$50.00	3/20/16	April Quarterly 2016
Annie Rice	\$25.00	3/21/16	April Quarterly 2016
Anthony William	\$10.00	3/31/16	April Quarterly 2016
James Franks	\$10.00	6/4/16	July Quarterly 2016
Joseph Thomas	\$15.00	6/5/16	July Quarterly 2016
Tracy Cole	\$50.00	6/8/16	July Quarterly 2016
Christopher Jamison	\$25.00	6/11/16	July Quarterly 2016
Joe Sutton	\$1.28	6/12/16	July Quarterly 2016
Norah Ryan	\$50.00	6/14/16	July Quarterly 2016
Cynthia Frank	\$1.33	6/15/16	July Quarterly 2016
Mikala Harris	\$50.00	6/16/16	July Quarterly 2016
Nik Cameron	\$40.00	6/16/16	July Quarterly 2016
Sara Johnson	\$50.00	6/16/16	July Quarterly 2016
Robert Davis	\$25.00	6/17/16	July Quarterly 2016
Graham Lane	\$50.00	6/18/16	July Quarterly 2016
Brendan Kirby	\$5.00	6/19/16	July Quarterly 2016
Connie Cominsky	\$10.00	6/20/16	July Quarterly 2016
Trina Adams	\$50.00	6/21/16	July Quarterly 2016
Sergio Haro	\$10.00	6/21/16	July Quarterly 2016
Mike Baldwin	\$25.00	6/22/16	July Quarterly 2016
Mitchell Eagles	\$25.00	6/22/16	July Quarterly 2016
Kim Allen	\$25.00	6/22/16	July Quarterly 2016
Janet Williams	\$25.00	6/25/16	July Quarterly 2016
Patrick Drake	\$25.00	6/26/16	July Quarterly 2016
Joe Sutton	\$1.41	6/29/16	July Quarterly 2016
Elisa Forgelman	\$25.00	6/30/16	July Quarterly 2016
Laura Grady	\$25.00	6/30/16	July Quarterly 2016

Jacob Wilson	\$10.00	6/30/16	July Quarterly 2016
Dustin Hotz	\$25.00	6/30/16	July Quarterly 2016
Nathan Gilbert	\$10.00	6/30/16	July Quarterly 2016
Rebecca Lewis	\$100.00	7/20/16	July Quarterly 2016
Carlos Restrepo	\$25.00	9/8/16	October Quarterly 2016
Phil Valko	\$50.00	9/8/16	October Quarterly 2016
John Parker	\$25.00	9/9/16	October Quarterly 2016
Cynthia Copeland	\$50.00	9/9/16	October Quarterly 2016
Daniel Gladden	\$25.00	9/9/16	October Quarterly 2016
Courtney McDermott	\$50.00	9/10/16	October Quarterly 2016
Tobin Lichti	\$50.00	9/10/16	October Quarterly 2016
Megan Green	\$50.00	9/10/16	October Quarterly 2016
Sarah Griesbach	\$50.00	9/10/16	October Quarterly 2016
Amanda Colon-Smith	\$25.00	9/10/16	October Quarterly 2016
Kelly Owens	\$50.00	9/10/16	October Quarterly 2016
Christopher Jamison	\$25.00	9/11/16	October Quarterly 2016
Tracy Verner	\$30.00	9/11/16	October Quarterly 2016
Deborah Cohen	\$5.00	9/11/16	October Quarterly 2016
Michael Berg	\$50.00	9/12/16	October Quarterly 2016
Kathy Glaser	\$15.00	9/12/16	October Quarterly 2016
Shawn Maher	\$25.00	9/12/16	October Quarterly 2016
Ian Simmons	\$25.00	9/13/16	October Quarterly 2016
Anne-Sophie Pottier	\$40.00	9/14/16	October Quarterly 2016
Diana Linville	\$25.00	9/16/16	October Quarterly 2016
Shelley Hoffman	\$25.00	9/17/16	October Quarterly 2016
Madelene Eldridge	\$25.00	9/17/16	October Quarterly 2016
Kyle Keith	\$25.00	9/17/16	October Quarterly 2016
Yzetta Smith	\$25.00	9/17/16	October Quarterly 2016
Libbie Higgins	\$10.00	9/17/16	October Quarterly 2016
Joshua Seaton	\$5.00	9/17/16	October Quarterly 2016
James Franks	\$10.00	10/4/16	8 Day Before General Election - 11/8/16
Glenn Farmer	\$10.00	10/4/16	8 Day Before General Election - 11/8/16
Auset Sarno	\$25.00	10/12/16	8 Day Before General Election - 11/8/16
Dan Johanning	\$10.00	10/21/16	8 Day Before General Election - 11/8/16
Kim Allen	\$25.00	10/22/16	8 Day Before General Election - 11/8/16
Stephanie Burba	\$10.00	10/25/16	8 Day Before General Election - 11/8/16
Jeanette Days	\$20.00	10/25/16	8 Day Before General Election - 11/8/16
Original Allah	\$25.00	10/26/16	8 Day Before General Election - 11/8/16
Michael Lhotak	\$4.00	10/26/16	8 Day Before General Election - 11/8/16
Cynthia Jones	\$25.00	10/31/16	30 Day After General Election - 11/8/16
Anne-Sophie Pottier	\$40.00	10/31/16	30 Day After General Election - 11/8/16
James Franks	\$10.00	11/4/16	30 Day After General Election - 11/8/16
Glenn Farmer	\$10.00	11/4/16	30 Day After General Election - 11/8/16
Brandy Gates	\$25.00	11/8/16	30 Day After General Election - 11/8/16
Christopher Jamison	\$25.00	11/11/16	30 Day After General Election - 11/8/16

Xavier Robinson	\$1.00	11/14/16	30 Day After General Election - 11/8/16
Michael Lhotak	\$4.00	11/26/16	30 Day After General Election - 11/8/16
Kevin & Kimberly McKinney	\$100.00	11/30/16	30 Day After General Election - 11/8/16
James Franks	\$10.00	12/4/16	April Quarterly 2017
Brandy Gates	\$25.00	12/8/16	April Quarterly 2017
Doug & Hilda Adams	\$25.00	12/10/16	April Quarterly 2017
Laura Wacker	\$5.00	12/10/16	April Quarterly 2017
Lana & John Kitchel	\$10.00	12/11/16	April Quarterly 2017
Christopher Jamison	\$25.00	12/11/16	April Quarterly 2017
Susan Strubbe	\$25.00	12/11/16	April Quarterly 2017
John Theodore	\$25.00	12/14/16	April Quarterly 2017
Michael Lhotak	\$4.00	12/26/16	April Quarterly 2017
Natalie Boesch	\$15.00	12/27/16	April Quarterly 2017
Kaleen Coleman	\$25.00	12/28/16	April Quarterly 2017
James Franks	\$10.00	1/4/17	April Quarterly 2017
Brandy Gates	\$25.00	1/10/17	April Quarterly 2017
Christopher Jamison	\$25.00	1/11/17	April Quarterly 2017
Michael Lhotak	\$4.00	1/26/17	April Quarterly 2017
James Franks	\$10.00	2/4/17	April Quarterly 2017
Christopher Jamison	\$25.00	2/11/17	April Quarterly 2017
Harold Anthony Sanders	\$25.00	2/19/17	April Quarterly 2017
Kerry Soraci	\$10.00	2/19/17	April Quarterly 2017
Glenn Burleigh	\$10.00	2/19/17	April Quarterly 2017
David Orwick	\$50.00	2/20/17	April Quarterly 2017
Michael Lhotak	\$4.00	2/26/17	April Quarterly 2017
James Franks	\$10.00	3/4/17	April Quarterly 2017
Christopher Jamison	\$25.00	3/11/17	April Quarterly 2017
Michael Lhotak	\$4.00	3/26/17	April Quarterly 2017
James Franks	\$10.00	4/4/17	July Quarterly 2017
Christopher Jamison	\$25.00	4/11/17	July Quarterly 2017
Zach Goldford	\$5.00	4/16/17	July Quarterly 2017
Michael Lhotak	\$4.00	4/26/17	July Quarterly 2017
James Franks	\$10.00	5/4/17	July Quarterly 2017
Christopher Jamison	\$25.00	5/11/17	July Quarterly 2017
Chris Grant	\$100.00	5/13/17	July Quarterly 2017
Michael Lhotak	\$4.00	5/26/17	July Quarterly 2017
Daniel Delpha	\$25.00	5/30/17	July Quarterly 2017
Annie Rice	\$50.00	5/30/17	July Quarterly 2017
Karissa Anderson	\$100.00	6/3/17	July Quarterly 2017
Kal Gray	\$25.00	6/3/17	July Quarterly 2017
James Franks	\$10.00	6/4/17	July Quarterly 2017
Marie Ceselski	\$100.00	6/8/17	July Quarterly 2017
Christopher Jamison	\$25.00	6/11/17	July Quarterly 2017
Michael Lhotak	\$4.00	6/26/17	July Quarterly 2017

Michael Lhotak	\$4.00	7/26/17	October Quarterly 2017
Doug Beck	\$100.00	8/17/17	October Quarterly 2017
Kimberly Moon	\$50.00	8/19/17	October Quarterly 2017
Courtney McDermott	\$25.00	8/19/17	October Quarterly 2017
Lynn Beauchaine	\$33.00	8/31/17	October Quarterly 2017
Rick Brenner	\$100.00	9/13/17	October Quarterly 2017
Amy Lancaster	\$25.00	9/18/17	October Quarterly 2017
John Parker	\$50.00	9/19/17	October Quarterly 2017
Mark Wyn	\$5.00	9/20/17	October Quarterly 2017
Alicia Bawa	\$25.00	9/20/17	October Quarterly 2017
James Lappe	\$100.00	9/20/17	October Quarterly 2017
Bridget Reddick	\$25.00	9/20/17	October Quarterly 2017
Lauren Pyatt	\$20.00	9/21/17	October Quarterly 2017
Mark Wyn	\$5.00	9/21/17	October Quarterly 2017
Alex Hunter	\$15.00	9/22/17	October Quarterly 2017
Liz Deichmann	\$10.00	9/22/17	October Quarterly 2017
Robin Barker	\$25.00	9/22/17	October Quarterly 2017
John Theodore	\$27.00	9/22/17	October Quarterly 2017
Terri Madison	\$33.00	9/22/17	October Quarterly 2017
Hannah Burtness	\$50.00	9/22/17	October Quarterly 2017
Daniel Delpha	\$30.00	9/22/17	October Quarterly 2017
Elyssa Sullivan	\$50.00	9/22/17	October Quarterly 2017
Glenn Burleigh	\$10.00	9/22/17	October Quarterly 2017
Carlos Restrepo	\$25.00	9/22/17	October Quarterly 2017
Shayn Prapaisilp	\$50.00	9/22/17	October Quarterly 2017
Mike Baldwin	\$33.00	9/22/17	October Quarterly 2017
Heather Donahue	\$33.00	9/22/17	October Quarterly 2017
Lucas Tieman	\$50.00	9/22/17	October Quarterly 2017
Byron Clemens	\$100.00	9/22/17	October Quarterly 2017
Bruce Franks	\$50.00	9/22/17	October Quarterly 2017
Elizabeth Kramer	\$33.00	9/22/17	October Quarterly 2017
Maureen Hanlon	\$33.00	9/22/17	October Quarterly 2017
Josi Nielsen	\$50.00	9/22/17	October Quarterly 2017
Marilyn Aleem-Shamikh	\$25.00	9/22/17	October Quarterly 2017
Megan Green	\$33.00	9/22/17	October Quarterly 2017
Stephanie Betts	\$33.00	9/22/17	October Quarterly 2017
Earline Banks	\$33.00	9/22/17	October Quarterly 2017
Sarah Bueltmann	\$50.00	9/22/17	October Quarterly 2017
Donald Morgan	\$100.00	9/22/17	October Quarterly 2017
Brad Bakker	\$100.00	9/22/17	October Quarterly 2017
Annie Rice	\$100.00	9/22/17	October Quarterly 2017
Erica Mullikin	\$33.00	9/22/17	October Quarterly 2017
Tracy Verner	\$33.00	9/22/17	October Quarterly 2017
Ellie Anderson	\$33.00	9/22/17	October Quarterly 2017

Carl Filler	\$25.00	9/22/17	October Quarterly 2017
Jessica Payne	\$50.00	9/22/17	October Quarterly 2017
Jamilah Nasheed	\$100.00	9/22/17	October Quarterly 2017
John Parhomski	\$20.00	9/22/17	October Quarterly 2017
Jared Boyd	\$50.00	9/22/17	October Quarterly 2017
Douglas Clemens	\$100.00	9/22/17	October Quarterly 2017
Sara Weichold	\$33.00	9/22/17	October Quarterly 2017
David Ragland	\$50.00	9/22/17	October Quarterly 2017
Sarah Felts	\$10.00	9/22/17	October Quarterly 2017
Joyce Gorrell	\$33.00	9/22/17	October Quarterly 2017
Dione Turner	\$100.00	9/22/17	October Quarterly 2017
Kerry Soraci	\$33.00	9/23/17	October Quarterly 2017
Cynthia Jones	\$50.00	9/23/17	October Quarterly 2017
Marie Ceselski	\$100.00	9/23/17	October Quarterly 2017
Vanessa Carroll	\$50.00	9/23/17	October Quarterly 2017
Michael Berg	\$50.00	9/23/17	October Quarterly 2017
Nancy Cross	\$100.00	9/24/17	October Quarterly 2017
Jake Hafner	\$100.00	9/28/17	October Quarterly 2017
Stephanie P. Clemens	\$50.00	9/30/17	October Quarterly 2017
BG Paulus	\$25.00	9/30/17	October Quarterly 2017
Cash deposit	\$25.64	N/A	January Quarterly 2018
Anne Schweitzer	\$25.00	10/1/17	January Quarterly 2018
James Franks	\$10.00	10/4/17	January Quarterly 2018
Lori Markson	\$25.00	10/4/17	January Quarterly 2018
Stephanie Ellerbe	\$20.00	10/6/17	January Quarterly 2018
Susan & William Sullivan	\$100.00	10/8/17	January Quarterly 2018
Christopher Jamison	\$25.00	10/11/17	January Quarterly 2018
Mark Wyn	\$25.00	10/13/17	January Quarterly 2018
Austin Roberts	\$5.00	10/20/17	January Quarterly 2018
Terri Madison	\$25.00	10/20/17	January Quarterly 2018
Patrick Shaw	\$25.00	10/20/17	January Quarterly 2018
Carl Filler	\$25.00	10/22/17	January Quarterly 2018
James Franks	\$10.00	11/4/17	January Quarterly 2018
Hollywood Casino Hotel Maryland Height	\$30.00	N/A	January Quarterly 2018
Christopher Jamison	\$25.00	11/11/17	January Quarterly 2018
Sherry Derry	\$25.00	11/17/17	January Quarterly 2018
Joshua Borchardt	\$5.00	11/17/17	January Quarterly 2018
Sarah Artz	\$25.00	11/17/17	January Quarterly 2018
Kathy Ferrell	\$10.00	11/18/17	January Quarterly 2018
Holly Fogel	\$25.00	11/20/17	January Quarterly 2018
Carl Filler	\$25.00	11/22/17	January Quarterly 2018
Noah Hendrix	\$25.00	11/26/17	January Quarterly 2018
Annett Dugger	\$25.00	11/27/17	January Quarterly 2018

Anna Wilkerson	\$25.00	11/27/17	January Quarterly 2018
Sue Dersch	\$15.00	11/28/17	January Quarterly 2018
Lori Markson	\$25.00	11/28/17	January Quarterly 2018
Debra Harpe	\$10.00	11/28/17	January Quarterly 2018
James Franks	\$10.00	12/4/17	January Quarterly 2018
Susan J. Brown	\$10.00	12/6/17	January Quarterly 2018
Mike Baldwin	\$25.00	12/6/17	January Quarterly 2018
Carlos Restrepo	\$10.00	12/6/17	January Quarterly 2018
Reginald Garth	\$10.00	12/6/17	January Quarterly 2018
Emily Waggoner	\$10.00	12/6/17	January Quarterly 2018
Kelly Collett	\$10.00	12/6/17	January Quarterly 2018
Rasheen Aldridge	\$20.00	12/6/17	January Quarterly 2018
Lori Markson	\$25.00	12/10/17	January Quarterly 2018
Christopher Jamison	\$25.00	12/11/17	January Quarterly 2018
Michael McElroy	\$25.00	12/13/17	January Quarterly 2018
Emily Horton	\$25.00	12/13/17	January Quarterly 2018
Travis Dirnberger	\$10.00	12/13/17	January Quarterly 2018
Pam Smith	\$25.00	12/13/17	January Quarterly 2018
Arindam Kar	\$25.00	12/13/17	January Quarterly 2018
Nathan Height	\$5.00	12/13/17	January Quarterly 2018
Mark Wyn	\$10.00	12/19/17	January Quarterly 2018
Mark Wyn	\$25.00	12/19/17	January Quarterly 2018
Carl Filler	\$25.00	12/22/17	January Quarterly 2018
Mark Wyn	\$5.00	12/24/17	January Quarterly 2018
Larry King & Jeanne Kirkton	\$100.00	12/28/17	January Quarterly 2018
Sue Dersch	\$15.00	12/28/17	January Quarterly 2018
Tracy Verner	\$25.00	12/29/17	January Quarterly 2018
Hollywood Casino Hotel Maryland Height	\$50.00	12/30/17	January Quarterly 2018
Cash deposit	\$100.00	N/A	July Quarterly 2018
Michael Louis & Susan Caudle	\$100.00	5/16/18	July Quarterly 2018
Freddi K. Sokoloff	\$50.00	5/16/18	July Quarterly 2018
William & Susan Randolph	\$100.00	5/16/18	July Quarterly 2018
Barbara A. Washington	\$50.00	5/18/18	July Quarterly 2018
Lucille & Sam Green	\$50.00	3/30/18	July Quarterly 2018
Cash deposit	\$80.00	N/A	8 Day Before Primary Election - 8/7/18
Cash deposit	\$100.00	N/A	30 Day After Primary Election - 8/7/18
Jonathan P. Beck	\$50.00	N/A	30 Day After Primary Election - 8/7/18
Dale E. Sweet	\$50.00	7/20/18	30 Day After Primary Election - 8/7/18
Nadida Amatullah-Matin	\$25.00	7/27/18	30 Day After Primary Election - 8/7/18
Sue Dersch	\$15.00	7/28/18	30 Day After Primary Election - 8/7/18



Trisha Gordon	\$100.00	7/31/18	30 Day After Primary Election - 8/7/18
James Franks	\$10.00	8/4/18	30 Day After Primary Election - 8/7/18
IST-MO Nominee PAC Number One Campaign Contribution Account	\$80.41	8/27/18	30 Day After Primary Election - 8/7/18
Viceroy PAC	\$100.00	10/20/18	30 Day After General Election - 11/6/18
Cash deposit	\$100.00	N/A	January Quarterly 2019
David & Kara Merideth	\$25.00	12/14/18	January Quarterly 2019
Maureen T. McMillan	\$50.00	12/14/18	January Quarterly 2019
Timothy J. Ripplinger	\$100.00	12/14/18	January Quarterly 2019
<b>Total</b>	<b>\$8,919.68</b>		

## Attachment 2

Contributor Name	Amount	Date	Report Not Showing Contribution
Phillip & Mary Weeks	\$650.00	5/1/16	July Quarterly 2016
Matias D. Ambrosio	\$200.00	6/24/16	July Quarterly 2016
St. Louis Teachers Local 420 COPE Contribution Fund	\$300.00	9/23/16	October Quarterly 2016
Cash deposit	\$1,007.00	10/9/16	30 Day After Special Primary Election - 9/16/16
Nathan Schrenk	\$250.00	11/29/16	30 Day After General Election - 11/8/16
Andy Karandzieff	\$200.00	1/14/17	April Quarterly 2017
Cash deposit	\$180.00	2/1/17	April Quarterly 2017
Paypal electronic deposit	\$270.00	N/A	April Quarterly 2017
Cash deposit	\$160.00	N/A	April Quarterly 2017
Dana Kelly Frank	\$516.00	N/A	April Quarterly 2017
Daron C. McGee	\$150.00	5/28/17	July Quarterly 2017
Stephen Webber	\$200.00	5/28/17	July Quarterly 2017
Frank Carlson	\$150.00	8/16/17	October Quarterly 2017
Peter Merideth	\$50.00	8/16/17	October Quarterly 2017
Nathan Schrenk	\$400.00	8/16/17	October Quarterly 2017
Susie Chasnoff	\$250.00	8/17/17	October Quarterly 2017
Steven Perry Nelson	\$150.00	8/17/17	October Quarterly 2017
UPSPAC	\$500.00	8/21/17	October Quarterly 2017
Gregory F.X. Daly	\$200.00	9/21/17	October Quarterly 2017
Alison Sullivan	\$250.00	9/21/17	October Quarterly 2017
Lauren Footman	\$500.00	9/22/17	October Quarterly 2017
Kimberly McKinney	\$178.00	9/22/17	October Quarterly 2017
A Better Missouri PAC	\$2,600.00	9/19/17	October Quarterly 2017
Pfizer PAC	\$750.00	10/3/17	January Quarterly 2018
Cash deposit	\$220.00	N/A	January Quarterly 2018
Cash deposit	\$117.00	N/A	January Quarterly 2018
Safer Families of Missouri	\$500.00	5/16/18	July Quarterly 2018
A Better Missouri PAC	\$500.00	6/19/18	July Quarterly 2018
Credit Union PAC	\$250.00	7/5/18	8 Day Before Primary Election - 8/7/18
UPSPAC	\$700.00	7/12/18	8 Day Before Primary Election - 8/7/18
The Boeing Company PAC	\$500.00	7/16/18	8 Day Before Primary Election - 8/7/18
Missouri Senior PAC	\$500.00	7/27/18	30 Day After Primary Election - 8/7/18
Major Brands Inc. PAC	\$500.00	7/30/18	30 Day After Primary Election - 8/7/18
Dealers Interested in Government	\$1,000.00	7/24/18	30 Day After Primary Election - 8/7/18
AT&T Missouri	\$250.00	8/14/18	30 Day After Primary Election - 8/7/18
Supporters of Health Research & Treatments	\$500.00	7/20/18	30 Day After Primary Election - 8/7/18
Cash deposit	\$125.00	N/A	8 Day Before General Election - 11/6/18

Missouri Dental PAC	\$250.00	11/21/18	30 Day After General Election - 11/6/18
St. Louis Labor Council AFL-CIO Project 2000	\$150.00	12/13/18	January Quarterly 2019
Coffey & Nichols LLC	\$500.00	12/14/18	January Quarterly 2019
David Boger & Jacquelyn Foster	\$500.00	12/14/18	January Quarterly 2019
<b>Total</b>	<b>\$17,123.00</b>		

### Attachment 3

Expenditure Name	Amount	Date	Report Not Showing Expenditure
Megan Green for the 15th Ward	\$25.00	2/18/16	April Quarterly 2016
American Eagle Credit Union	\$4.00	2/18/16	April Quarterly 2016
Paypal *PROMOGEE	\$35.00	3/31/16	April Quarterly 2016
Missouri Ethics Commission	\$31.25	4/22/16	July Quarterly 2016
Dana Kelly Franks	\$25.00	4/27/16	July Quarterly 2016
Family Dollar	\$7.61	4/27/16	July Quarterly 2016
Schnucks	\$17.45	4/27/16	July Quarterly 2016
Pop-A-Lock of St.	\$55.00	5/19/16	July Quarterly 2016
The Neighborhood...St. Louis	\$10.00	5/20/16	July Quarterly 2016
Paypal *28 LIFE	\$10.59	6/13/16	July Quarterly 2016
Sq *La Mancha Co	\$6.09	6/21/16	July Quarterly 2016
Lee's Famous Chicken	\$8.36	6/24/16	July Quarterly 2016
Paypal *28 LIFE	\$87.77	6/25/16	July Quarterly 2016
Schnucks	\$31.82	6/26/16	July Quarterly 2016
City of St. Louis-PA	\$7.00	7/1/16	8 Day Before Primary Election - 8/2/16
Envatomarket Envato.com	\$7.00	7/3/16	8 Day Before Primary Election - 8/2/16
Paypal *28 LIFE	\$10.00	7/8/16	8 Day Before Primary Election - 8/2/16
Paypal *28 LIFE	\$60.00	7/8/16	8 Day Before Primary Election - 8/2/16
Paypal *28 LIFE	\$60.00	7/8/16	8 Day Before Primary Election - 8/2/16
Missouri Ethics Commission	\$31.25	7/22/16	30 Day After Primary Election - 8/2/16
Paypal *28 LIFE	\$20.00	8/2/16	30 Day After Primary Election - 8/2/16
Paypal *28 LIFE	\$70.00	8/2/16	30 Day After Primary Election - 8/2/16
Paypal *28 LIFE	\$80.00	8/2/16	30 Day After Primary Election - 8/2/16
Paypal *28 LIFE	\$100.00	8/14/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/16/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/16/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/17/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/17/16	30 Day After Primary Election - 8/2/16
US Bank	\$15.00	8/18/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/18/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/18/16	30 Day After Primary Election - 8/2/16
US Bank	\$15.00	8/19/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/19/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/19/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/19/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/19/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/19/16	30 Day After Primary Election - 8/2/16
US Bank	\$25.00	8/22/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/22/16	30 Day After Primary Election - 8/2/16
US Bank	\$36.00	8/22/16	30 Day After Primary Election - 8/2/16

US Bank	\$25.00	8/29/16	8 Day Before Special Primary Election - 9/16/16
US Bank	\$25.00	9/6/16	October Quarterly 2016
US Bank	\$10.00	9/15/16	October Quarterly 2016
ActBlue	\$0.40	10/5/16	October Quarterly 2016
ActBlue	\$0.40	10/5/16	October Quarterly 2016
ActBlue	\$0.99	10/12/16	8 Day Before General Election - 11/8/16
White Castle	\$6.93	10/17/16	8 Day Before General Election - 11/8/16
Wufoo.com/charge	\$14.95	10/17/16	8 Day Before General Election - 11/8/16
BP St. Louis, MO	\$17.44	10/17/16	8 Day Before General Election - 11/8/16
Old Town Donuts Florissant, MO	\$35.75	10/17/16	8 Day Before General Election - 11/8/16
Wix.com	\$16.00	10/20/16	8 Day Before General Election - 11/8/16
ActBlue	\$0.40	10/23/16	8 Day Before General Election - 11/8/16
ActBlue	\$0.99	10/23/16	8 Day Before General Election - 11/8/16
ActBlue	\$3.95	10/23/16	8 Day Before General Election - 11/8/16
ActBlue	\$3.95	10/23/16	8 Day Before General Election - 11/8/16
US Bank	\$36.00	10/25/16	8 Day Before General Election - 11/8/16
ActBlue	\$0.40	10/26/16	8 Day Before General Election - 11/8/16
ActBlue	\$3.95	10/26/16	8 Day Before General Election - 11/8/16
ActBlue	\$0.79	10/26/16	8 Day Before General Election - 11/8/16
ActBlue	\$3.95	10/26/16	8 Day Before General Election - 11/8/16
ActBlue	\$9.88	10/26/16	8 Day Before General Election - 11/8/16
ActBlue	\$3.95	10/26/16	8 Day Before General Election - 11/8/16
ActBlue	\$0.99	10/26/16	8 Day Before General Election - 11/8/16
ActBlue	\$0.16	10/26/16	8 Day Before General Election - 11/8/16
On the Street Clayton, MO	\$2.00	10/26/16	8 Day Before General Election - 11/8/16

Kaldi's-Crescent Clayton, MO	\$2.12	10/26/16	8 Day Before General Election - 11/8/16
US Bank	\$15.00	10/27/16	8 Day Before General Election - 11/8/16
US Bank	\$15.00	10/27/16	8 Day Before General Election - 11/8/16
US Bank	\$36.00	10/27/16	8 Day Before General Election - 11/8/16
US Bank	\$36.00	10/27/16	8 Day Before General Election - 11/8/16
ActBlue	\$0.99	10/31/16	30 Day After General Election - 11/8/16
ActBlue	\$1.58	10/31/16	30 Day After General Election - 11/8/16
Paypal *JADECKS	\$40.00	10/31/16	30 Day After General Election - 11/8/16
White Castle	\$8.52	11/1/16	30 Day After General Election - 11/8/16
ActBlue	\$0.40	11/6/16	30 Day After General Election - 11/8/16
ActBlue	\$0.40	11/6/16	30 Day After General Election - 11/8/16
US Bank	\$36.00	11/7/16	30 Day After General Election - 11/8/16
ActBlue	\$0.99	11/8/16	30 Day After General Election - 11/8/16
US Bank	\$15.00	11/9/16	30 Day After General Election - 11/8/16
US Bank	\$36.00	11/9/16	30 Day After General Election - 11/8/16
US Bank	\$25.00	11/14/16	30 Day After General Election - 11/8/16
US Bank	\$36.00	11/14/16	30 Day After General Election - 11/8/16
US Bank	\$15.00	11/15/16	30 Day After General Election - 11/8/16
ActBlue	\$0.04	11/16/16	30 Day After General Election - 11/8/16
US Bank	\$36.00	11/22/16	30 Day After General Election - 11/8/16
US Bank	\$36.00	11/22/16	30 Day After General Election - 11/8/16
US Bank	\$36.00	11/22/16	30 Day After General Election - 11/8/16
ActBlue	\$7.90	11/23/16	30 Day After General Election - 11/8/16

US Bank	\$36.00	11/23/16	30 Day After General Election - 11/8/16
ActBlue	\$0.16	11/27/16	30 Day After General Election - 11/8/16
US Bank	\$25.00	11/28/16	30 Day After General Election - 11/8/16
ActBlue	\$9.88	11/30/16	30 Day After General Election - 11/8/16
ActBlue	\$3.95	11/30/16	30 Day After General Election - 11/8/16
ActBlue	\$0.40	12/4/16	April Quarterly 2017
US Bank	\$25.00	12/5/16	April Quarterly 2017
ActBlue	\$0.99	12/11/16	April Quarterly 2017
ActBlue	\$0.99	12/11/16	April Quarterly 2017
ActBlue	\$0.20	12/11/16	April Quarterly 2017
ActBlue	\$0.40	12/11/16	April Quarterly 2017
ActBlue	\$0.99	12/11/16	April Quarterly 2017
ActBlue	\$0.99	12/11/16	April Quarterly 2017
US Bank	\$25.00	12/12/16	April Quarterly 2017
ActBlue	\$0.99	12/14/16	April Quarterly 2017
ActBlue	\$0.40	1/4/17	April Quarterly 2017
ActBlue	\$0.99	1/11/17	April Quarterly 2017
ActBlue	\$0.99	1/11/17	April Quarterly 2017
ActBlue	\$7.90	1/15/17	April Quarterly 2017
ActBlue	\$0.99	2/12/17	April Quarterly 2017
ActBlue	\$1.98	2/12/17	April Quarterly 2017
ActBlue	\$0.40	3/5/17	April Quarterly 2017
ActBlue	\$0.99	3/12/17	April Quarterly 2017
ActBlue	\$1.98	3/12/17	April Quarterly 2017
US Bank	\$12.00	3/14/17	April Quarterly 2017
ActBlue	\$0.99	4/12/17	July Quarterly 2017
ActBlue	\$1.98	4/12/17	July Quarterly 2017
US Bank	\$14.00	4/14/17	July Quarterly 2017
ActBlue	\$0.20	4/16/17	July Quarterly 2017
ActBlue	\$0.16	4/26/17	July Quarterly 2017
ActBlue	\$0.40	5/7/17	July Quarterly 2017
ActBlue	\$0.99	5/14/17	July Quarterly 2017
ActBlue	\$1.98	5/14/17	July Quarterly 2017
ActBlue	\$3.95	5/14/17	July Quarterly 2017
ActBlue	\$0.16	5/28/17	July Quarterly 2017
ActBlue	\$0.99	5/31/17	July Quarterly 2017
ActBlue	\$1.98	5/31/17	July Quarterly 2017
ActBlue	\$3.95	6/4/17	July Quarterly 2017
ActBlue	\$0.99	6/4/17	July Quarterly 2017
ActBlue	\$0.40	6/4/17	July Quarterly 2017

ActBlue	\$3.95	6/11/17	July Quarterly 2017
ActBlue	\$0.99	6/11/17	July Quarterly 2017
ActBlue	\$1.98	6/14/17	July Quarterly 2017
ActBlue	\$0.16	6/28/17	July Quarterly 2017
ActBlue	\$0.40	7/5/17	October Quarterly 2017
ActBlue	\$0.99	7/12/17	October Quarterly 2017
ActBlue	\$1.98	7/12/17	October Quarterly 2017
US Bank	\$36.00	7/12/17	October Quarterly 2017
US Bank	\$25.00	7/18/17	October Quarterly 2017
US Bank	\$25.00	7/25/17	October Quarterly 2017
US Bank	\$25.00	8/1/17	October Quarterly 2017
ActBlue	\$0.40	8/6/17	October Quarterly 2017
US Bank	\$25.00	8/8/17	October Quarterly 2017
ActBlue	\$0.99	8/13/17	October Quarterly 2017
ActBlue	\$1.98	8/13/17	October Quarterly 2017
US Bank	\$25.00	8/15/17	October Quarterly 2017
ActBlue	\$0.99	8/16/17	October Quarterly 2017
ActBlue	\$9.88	8/16/17	October Quarterly 2017
ActBlue	\$5.93	8/16/17	October Quarterly 2017
ActBlue	\$0.99	8/16/17	October Quarterly 2017
ActBlue	\$1.03	8/16/17	October Quarterly 2017
ActBlue	\$19.75	8/16/17	October Quarterly 2017
ActBlue	\$0.99	8/16/17	October Quarterly 2017
ActBlue	\$0.99	8/16/17	October Quarterly 2017
ActBlue	\$3.95	8/16/17	October Quarterly 2017
ActBlue	\$1.98	8/16/17	October Quarterly 2017
ActBlue	\$3.95	8/16/17	October Quarterly 2017
ActBlue	\$0.99	8/16/17	October Quarterly 2017
ActBlue	\$3.95	8/20/17	October Quarterly 2017
ActBlue	\$0.99	8/20/17	October Quarterly 2017
ActBlue	\$1.98	8/20/17	October Quarterly 2017
ActBlue	\$0.99	8/20/17	October Quarterly 2017
ActBlue	\$3.95	8/20/17	October Quarterly 2017
ActBlue	\$0.99	8/20/17	October Quarterly 2017
ActBlue	\$3.95	8/20/17	October Quarterly 2017
ActBlue	\$9.88	8/20/17	October Quarterly 2017
ActBlue	\$5.93	8/20/17	October Quarterly 2017
ActBlue	\$0.99	8/20/17	October Quarterly 2017
ActBlue	\$1.98	8/20/17	October Quarterly 2017
ActBlue	\$0.99	8/20/17	October Quarterly 2017
US Bank	\$25.00	8/22/17	October Quarterly 2017
US Bank	\$30.00	8/23/17	October Quarterly 2017
ActBlue	\$1.31	9/3/17	October Quarterly 2017
ActBlue	\$0.99	9/13/17	October Quarterly 2017
ActBlue	\$1.98	9/13/17	October Quarterly 2017



ActBlue	\$3.95	9/13/17	October Quarterly 2017
ActBlue	\$1.98	9/17/17	October Quarterly 2017
First Financial Federal Credit Union	\$10.00	9/19/17	October Quarterly 2017
ActBlue	\$0.99	9/20/17	October Quarterly 2017
ActBlue	\$1.98	9/20/17	October Quarterly 2017
ActBlue	\$0.20	9/20/17	October Quarterly 2017
ActBlue	\$0.99	9/20/17	October Quarterly 2017
ActBlue	\$3.95	9/20/17	October Quarterly 2017
ActBlue	\$0.99	9/20/17	October Quarterly 2017
Exxonmobil	\$31.65	9/20/17	October Quarterly 2017
ActBlue	\$0.79	9/24/17	October Quarterly 2017
ActBlue	\$0.20	9/24/17	October Quarterly 2017
ActBlue	\$9.88	9/24/17	October Quarterly 2017
ActBlue	\$19.75	9/24/17	October Quarterly 2017
ActBlue	\$0.60	9/24/17	October Quarterly 2017
ActBlue	\$0.40	9/24/17	October Quarterly 2017
ActBlue	\$0.99	9/24/17	October Quarterly 2017
ActBlue	\$1.07	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$7.04	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$0.40	9/24/17	October Quarterly 2017
ActBlue	\$0.99	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$3.95	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$0.99	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$3.95	9/24/17	October Quarterly 2017
ActBlue	\$3.95	9/24/17	October Quarterly 2017
ActBlue	\$3.95	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017

ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$0.99	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$3.95	9/24/17	October Quarterly 2017
ActBlue	\$0.79	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$3.95	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$0.40	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$3.95	9/24/17	October Quarterly 2017
ActBlue	\$1.31	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$3.95	9/24/17	October Quarterly 2017
ActBlue	\$1.98	9/24/17	October Quarterly 2017
ActBlue	\$3.95	9/24/17	October Quarterly 2017
QT 638	\$34.03	9/28/17	October Quarterly 2017
ActBlue	\$3.95	9/30/17	October Quarterly 2017
ActBlue	\$0.99	9/30/17	October Quarterly 2017
First Financial Federal Credit Union	\$2.00	10/7/17	January Quarterly 2018
First Financial Federal Credit Union	\$2.00	10/7/17	January Quarterly 2018
Uber	\$13.18	10/12/17	January Quarterly 2018
Uber	\$13.27	10/14/17	January Quarterly 2018
Uber	\$30.93	10/14/17	January Quarterly 2018
Uber	\$12.70	10/16/17	January Quarterly 2018
Uber	\$3.00	10/16/17	January Quarterly 2018
Uber	\$5.00	10/17/17	January Quarterly 2018
Uber	\$6.11	10/17/17	January Quarterly 2018
Crowne Plaza St. Louis AI Bridgeton, MO	\$8.00	10/20/17	January Quarterly 2018
Tropicana Lanes St. Louis	\$58.00	10/23/17	January Quarterly 2018
Tropicana Lanes St. Louis	\$37.80	10/24/17	January Quarterly 2018
Uber	\$1.00	10/24/17	January Quarterly 2018
Uber	\$11.42	10/24/17	January Quarterly 2018
Priceline Hotel	\$88.97	10/24/17	January Quarterly 2018
Hotelumiere St. Louis	\$36.00	10/29/17	January Quarterly 2018
Hollywood Casino Hotel Maryland Height	\$20.00	10/30/17	January Quarterly 2018
Hollywood Casino Hotel Maryland Height	\$20.00	11/1/17	January Quarterly 2018
Tropicana Lanes St. Louis	\$50.00	11/3/17	January Quarterly 2018
Union Station Hotel	\$38.00	11/6/17	January Quarterly 2018

Union Station Hotel	\$38.00	11/7/17	January Quarterly 2018
Paypal *BABYZAC1456	\$41.46	11/8/17	January Quarterly 2018
PP*Google Play	\$2.99	11/9/17	January Quarterly 2018
Nebulastl.com	\$51.80	11/11/17	January Quarterly 2018
Wix.com	\$38.85	11/12/17	January Quarterly 2018
Sq *Abdul-Wakil M Kamal M St. Louis MO	\$40.00	11/12/17	January Quarterly 2018
Sq *Abdul-Wakil M Kamal M St. Louis MO	\$40.00	11/12/17	January Quarterly 2018
PP*Google Play	\$2.99	11/13/17	January Quarterly 2018
Facebook *NetworkforGood donate.fb.com	\$50.00	11/17/17	January Quarterly 2018
Facebook	\$10.52	11/19/17	January Quarterly 2018
First Financial Federal Credit Union	\$29.50	11/20/17	January Quarterly 2018
PP*Google Play	\$1.29	11/21/17	January Quarterly 2018
PP*Google Play	\$1.09	11/21/17	January Quarterly 2018
PP*Google Play	\$9.49	11/21/17	January Quarterly 2018
RS*Name.com WA	\$9.99	11/27/17	January Quarterly 2018
Facebook	\$85.00	11/30/17	January Quarterly 2018
SWA*UPGboard TX	\$30.00	12/12/17	January Quarterly 2018
QT 638	\$41.64	12/13/17	January Quarterly 2018
Uber	\$27.51	1/20/18	April Quarterly 2018
Uber	\$8.91	1/20/18	April Quarterly 2018
T-Mobile	\$63.79	2/5/18	April Quarterly 2018
First Financial Federal Credit Union	\$2.00	2/17/18	April Quarterly 2018
Touchtunes.helpshift.com	\$10.00	2/20/18	April Quarterly 2018
Touchtunes.helpshift.com	\$5.00	2/27/18	April Quarterly 2018
Touchtunes.helpshift.com	\$10.00	2/27/18	April Quarterly 2018
Exxonmobil Kingdom City	\$39.98	3/2/18	April Quarterly 2018
Touchtunes.helpshift.com	\$5.00	3/3/18	April Quarterly 2018
Touchtunes.helpshift.com	\$20.00	3/17/18	April Quarterly 2018
Uber	\$12.75	3/20/18	April Quarterly 2018
Touchtunes.helpshift.com	\$10.00	3/20/18	April Quarterly 2018
First Financial Federal Credit Union	\$0.40	4/12/18	July Quarterly 2018
Imo's Pizza	\$39.20	4/14/18	July Quarterly 2018
McDonald's	\$7.07	4/15/18	July Quarterly 2018
QT 679	\$1.53	4/15/18	July Quarterly 2018
Joy Luck Chinese Buffet Brentwood, MO	\$13.95	4/16/18	July Quarterly 2018
Family Dollar	\$61.24	4/16/18	July Quarterly 2018
BP St. Louis	\$48.75	4/16/18	July Quarterly 2018
MO Secretary of State	\$7.50	4/16/18	July Quarterly 2018

Sq *La Mancha Coffeehouse	\$5.36	4/16/18	July Quarterly 2018
Target JCMO	\$68.49	4/17/18	July Quarterly 2018
McDonald's	\$7.75	4/18/18	July Quarterly 2018
First Financial Federal Credit Union	\$29.50	4/19/18	July Quarterly 2018
McDonald's	\$10.01	4/20/18	July Quarterly 2018
BP St. Louis	\$45.51	4/20/18	July Quarterly 2018
McDonald's	\$8.74	4/21/18	July Quarterly 2018
Deals St. Louis	\$7.45	4/22/18	July Quarterly 2018
AMC Esquire 7	\$23.01	4/22/18	July Quarterly 2018
First Financial Federal Credit Union	\$29.50	4/24/18	July Quarterly 2018
Gulf Oil Kingdom City, MO	\$45.39	4/24/18	July Quarterly 2018
First Financial Federal Credit Union	\$29.50	4/25/18	July Quarterly 2018
Phillips 66 Florissant, MO	\$49.11	4/30/18	July Quarterly 2018
T-Mobile	\$29.50	5/1/18	July Quarterly 2018
First Financial Federal Credit Union	\$29.50	5/2/18	July Quarterly 2018
First Financial Federal Credit Union	\$29.50	5/7/18	July Quarterly 2018
First Financial Federal Credit Union	\$29.50	5/15/18	July Quarterly 2018
First Financial Federal Credit Union	\$2.00	5/21/18	July Quarterly 2018
First Financial Federal Credit Union	\$29.50	5/23/18	July Quarterly 2018
Shell Service Station St. Louis, MO	\$54.25	6/22/18	July Quarterly 2018
McDonald's	\$7.76	6/22/18	July Quarterly 2018
First Financial Federal Credit Union	\$2.00	6/22/18	July Quarterly 2018
QT 643	\$5.43	6/24/18	July Quarterly 2018
Panda Express Richmond Heights, MO	\$9.60	6/25/18	July Quarterly 2018
McDonald's	\$8.07	6/25/18	July Quarterly 2018
USConnect Crdnl Vend Fenton, MO	\$1.10	6/26/18	July Quarterly 2018
USConnect Crdnl Vend Fenton, MO	\$2.10	6/26/18	July Quarterly 2018
Sq Washington Ave. St. Louis	\$27.82	6/27/18	July Quarterly 2018
2807Pepsiven St. Louis	\$1.75	6/27/18	July Quarterly 2018
Jack's BP St. Louis, MO	\$35.16	6/27/18	July Quarterly 2018
Chris the Docket St. Louis MO	\$40.26	6/28/18	July Quarterly 2018
Hooters of Klener Plaza	\$40.84	6/28/18	July Quarterly 2018

Panda Express Richmond Heights, MO	\$10.04	6/29/18	July Quarterly 2018
BP Troy, IL	\$47.25	6/29/18	July Quarterly 2018
Executive Bowling	\$14.00	6/30/18	July Quarterly 2018
Yoki Buffet Louisville, KY	\$20.89	6/30/18	July Quarterly 2018
Sq Washington Ave. St. Louis	\$5.04	7/14/18	8 Day Before Primary Election - 8/7/18
First Financial Federal Credit Union	\$2.00	7/16/18	8 Day Before Primary Election - 8/7/18
Banfield St. Louis, MO	\$74.19	7/17/18	8 Day Before Primary Election - 8/7/18
First Financial Federal Credit Union	\$0.40	7/27/18	30 Day After Primary Election - 11/6/18
ActBlue	\$0.99	7/29/18	30 Day After Primary Election - 11/6/18
ActBlue	\$5.93	7/29/18	30 Day After Primary Election - 11/6/18
ActBlue	\$0.60	7/29/18	30 Day After Primary Election - 11/6/18
ActBlue	\$3.95	8/1/18	30 Day After Primary Election - 11/6/18
First Financial Federal Credit Union	\$2.00	8/3/18	30 Day After Primary Election - 11/6/18
ActBlue	\$3.95	8/5/18	30 Day After Primary Election - 11/6/18
ActBlue	\$0.40	8/5/18	30 Day After Primary Election - 11/6/18
First Financial Federal Credit Union	\$2.00	8/6/18	30 Day After Primary Election - 11/6/18
First Financial Federal Credit Union	\$2.00	8/6/18	30 Day After Primary Election - 11/6/18
ActBlue	\$3.95	8/7/18	30 Day After Primary Election - 11/6/18
ActBlue	\$0.99	8/12/18	30 Day After Primary Election - 11/6/18
ActBlue	\$1.98	8/12/18	30 Day After Primary Election - 11/6/18
ActBlue	\$1.98	8/19/18	30 Day After Primary Election - 11/6/18
ActBlue	\$0.99	8/22/18	30 Day After Primary Election - 11/6/18
ActBlue	\$0.40	8/26/18	30 Day After Primary Election - 11/6/18
ActBlue	\$0.60	8/29/18	30 Day After Primary Election - 11/6/18
First Financial Federal Credit Union	\$2.00	9/4/18	October Quarterly 2018

First Financial Federal Credit Union	\$29.50	9/10/18	October Quarterly 2018
Atlanta Daily World College Park, GA	\$62.89	10/7/18	8 Day Before General Election - 11/6/18
NYA*Retinco 97237694380 FR	\$6.35	10/23/18	8 Day Before General Election - 11/6/18
Uber Eats	\$16.85	10/27/18	30 Day After General Election - 11/6/18
SH Vending Mount Prospect IL	\$1.00	10/27/18	30 Day After General Election - 11/6/18
Sq *Teatopia St. Louis	\$11.34	11/2/18	30 Day After General Election - 11/6/18
Imo's Pizza St. Louis, MO	\$64.14	11/3/18	30 Day After General Election - 11/6/18
Uber Eats	\$12.54	11/13/18	30 Day After General Election - 11/6/18
Cracker Barrel St. Louis, MO	\$16.87	12/1/18	30 Day After General Election - 11/6/18
Flamingo Bowl St. Louis	\$80.54	12/1/18	30 Day After General Election - 11/6/18
ATM withdrawal	\$7.09	12/2/18	January Quarterly 2019
First Financial Federal Credit Union	\$2.00	12/2/18	January Quarterly 2019
ATM	\$3.50	12/2/18	January Quarterly 2019
ActBlue	\$0.40	12/5/18	January Quarterly 2019
Apl* Itune.com	\$24.96	12/5/18	January Quarterly 2019
Apl* Itune.com	\$42.96	12/7/18	January Quarterly 2019
Hollywood Casino Hotel Maryland Heights	\$24.99	12/8/18	January Quarterly 2019
ActBlue	\$1.98	12/12/18	January Quarterly 2019
ActBlue	\$0.40	12/16/18	January Quarterly 2019
ActBlue	\$0.99	12/16/18	January Quarterly 2019
ActBlue	\$1.98	12/16/18	January Quarterly 2019
ActBlue	\$3.95	12/16/18	January Quarterly 2019
ActBlue	\$0.99	12/16/18	January Quarterly 2019
ActBlue	\$1.98	12/16/18	January Quarterly 2019
ActBlue	\$1.98	12/23/18	January Quarterly 2019
ActBlue	\$0.40	12/23/18	January Quarterly 2019
Bruce Franks Jr.	\$40.00	12/26/18	January Quarterly 2019
ActBlue	\$3.95	12/30/18	January Quarterly 2019
ActBlue	\$0.60	12/30/18	January Quarterly 2019
ActBlue	\$0.40	12/31/18	January Quarterly 2019
<b>Total</b>	<b>\$5,504.58</b>		

### Attachment 4

Expenditure Name	Amount	Date	Report Not Showing Expenditure
Paypal *28 LIFE	\$129.51	6/13/16	July Quarterly 2016
Paypal *28 LIFE	\$125.00	7/5/16	8 Day Before Primary Election - 8/2/16
Paypal *FBUSH224	\$159.80	7/5/16	8 Day Before Primary Election - 8/2/16
Paypal *28 LIFE	\$160.00	7/5/16	8 Day Before Primary Election - 8/2/16
Paypal *28 LIFE	\$175.00	7/5/16	8 Day Before Primary Election - 8/2/16
Paypal *28 LIFE	\$220.00	7/5/16	8 Day Before Primary Election - 8/2/16
Paypal *FBUSH224	\$130.00	7/8/16	8 Day Before Primary Election - 8/2/16
Unknown	\$1,369.24	Unk	30 Day After Primary Election - 8/2/16
Paypal *28 LIFE	\$130.00	8/1/16	30 Day After Primary Election - 8/2/16
Arnette Alhamed	\$1,000.00	8/4/16	30 Day After Primary Election - 8/2/16
Paypal *28 LIFE	\$120.00	8/15/16	30 Day After Primary Election - 8/2/16
Charter Communications	\$250.00	9/9/16	October Quarterly 2016
The Ink Spot	\$217.36	9/13/16	October Quarterly 2016
Dana Kelly	\$1,050.00	9/29/16	October Quarterly 2016
Unknown	\$452.00	Unk	October Quarterly 2016
Customer withdrawal	\$1,007.00	10/9/16	30 Day After Special Primary Election - 9/16/16
Unknown	\$139.00	Unk	8 Day Before General Election - 11/8/16
John Donut St. Louis	\$184.79	10/17/16	8 Day Before General Election - 11/8/16
Paypal *28 LIFE	\$226.68	10/20/16	8 Day Before General Election - 11/8/16
Paypal *28 LIFE	\$103.20	10/24/16	8 Day Before General Election - 11/8/16
Paypal *28 LIFE	\$103.20	10/24/16	8 Day Before General Election - 11/8/16
Paypal *28 LIFE	\$164.94	10/24/16	8 Day Before General Election - 11/8/16
Paypal *28 LIFE	\$206.10	10/24/16	8 Day Before General Election - 11/8/16
Paypal *28 LIFE	\$309.00	10/24/16	8 Day Before General Election - 11/8/16
Paypal *28 LIFE	\$103.20	10/26/16	8 Day Before General Election - 11/8/16
Paypal *28 LIFE	\$103.20	10/27/16	8 Day Before General Election - 11/8/16
Paypal *28 LIFE	\$144.36	10/31/16	30 Day After General Election - 11/8/16
Paypal *28 LIFE	\$164.94	10/31/16	30 Day After General Election - 11/8/16
Paypal *28 LIFE	\$309.00	10/31/16	30 Day After General Election - 11/8/16
Facebook	\$335.98	11/1/16	30 Day After General Election - 11/8/16
Pelican Co.	\$1,500.00	11/1/16	30 Day After General Election - 11/8/16
Paypal *28 LIFE	\$206.10	11/2/16	30 Day After General Election - 11/8/16
Paypal *28 LIFE	\$103.20	11/4/16	30 Day After General Election - 11/8/16
Paypal *28 LIFE	\$134.07	11/4/16	30 Day After General Election - 11/8/16
Paypal *28 LIFE	\$103.20	11/21/16	30 Day After General Election - 11/8/16
Paypal *28 LIFE	\$144.36	11/21/16	30 Day After General Election - 11/8/16
AT&T	\$104.87	1/27/17	April Quarterly 2017
T-Mobile	\$320.00	2/1/17	April Quarterly 2017
Dana Kelly	\$700.00	8/28/17	October Quarterly 2017

Bruce Franks, Jr.	\$125.00	9/7/17	October Quarterly 2017
Transfer to Bruce Franks, Jr. personal account	\$200.00	9/7/17	October Quarterly 2017
Bruce Franks, Jr.	\$200.00	9/11/17	October Quarterly 2017
Withdrawal transfer SHEROES xxx Share 0001	\$1,000.00	10/1/17	January Quarterly 2018
MO Political Consulting	\$1,500.00	10/6/17	January Quarterly 2018
Dana Franks	\$1,000.00	10/7/17	January Quarterly 2018
Bruce Franks	\$1,000.00	10/7/17	January Quarterly 2018
Withdrawal home banking transfer to SHEROES xxx Share 0001	\$1,390.00	10/9/17	January Quarterly 2018
Tierra Wilson	\$1,000.00	10/12/17	January Quarterly 2018
Veronica Bailey	\$1,000.00	10/17/17	January Quarterly 2018
Tower Grove	\$590.00	10/20/17	January Quarterly 2018
West End Grill & Pub St. Louis	\$113.49	10/21/17	January Quarterly 2018
The Ink Spot	\$210.66	10/22/17	January Quarterly 2018
Ameren	\$448.61	10/24/17	January Quarterly 2018
I Heart Media	\$1,066.75	10/24/17	January Quarterly 2018
Robin Boyce	\$375.00	10/24/17	January Quarterly 2018
Naral Pro-Choice Missouri	\$250.00	10/25/17	January Quarterly 2018
Sonicprint	\$275.00	10/27/17	January Quarterly 2018
Sprint Wireless	\$250.00	10/27/17	January Quarterly 2018
Priceline Hotel	\$159.98	10/27/17	January Quarterly 2018
Radio One, Inc.	\$1,058.25	10/28/17	January Quarterly 2018
T-Mobile	\$391.96	11/5/17	January Quarterly 2018
Trex Collections Stripe.com	\$233.32	11/10/17	January Quarterly 2018
Danielle Spradley	\$147.00	11/22/17	January Quarterly 2018
Bruce Franks, Jr.	\$500.00	11/25/17	January Quarterly 2018
MO Political Consulting	\$300.00	12/6/17	January Quarterly 2018
Tropicana Lanes St. Louis	\$120.00	12/7/17	January Quarterly 2018
The Ink Spot	\$119.28	12/7/17	January Quarterly 2018
Hudson News Chicago IL	\$111.22	12/8/17	January Quarterly 2018
SQC*DOMINQUE SANDE	\$200.00	12/15/17	January Quarterly 2018



Dana Kelly-Franks	\$500.00	12/18/17	January Quarterly 2018
Tower Grove	\$590.00	12/19/17	January Quarterly 2018
Unknown	\$150.00	12/19/17	January Quarterly 2018
MO Political Consulting	\$1,500.00	12/23/17	January Quarterly 2018
Communication Depot St. Louis	\$105.00	12/31/17	January Quarterly 2018
Cash withdrawal	\$500.00	1/19/18	April Quarterly 2018
Facebook	\$113.84	3/31/18	April Quarterly 2018
T-Mobile	\$457.80	4/12/18	July Quarterly 2018
Hooters of Kiener Plaza St. Louis	\$103.44	4/16/18	July Quarterly 2018
Priceline Hotel	\$170.97	4/17/18	July Quarterly 2018
Sq *Lil Angels Phot St. Peters	\$134.78	4/21/18	July Quarterly 2018
Oak Tree Inn JCMO	\$258.08	4/25/18	July Quarterly 2018
Bruce Franks	\$150.00	5/26/18	July Quarterly 2018
Withdrawal transfer to Franks, Bruce E xxxxxxxxxxx Share 0001	\$223.59	7/2/18	8 Day Before Primary Election - 8/7/18
First Ward Democratic Organization	\$250.00	7/16/18	8 Day Before Primary Election - 8/7/18
Bruce Franks	\$500.00	7/28/18	30 Day After Primary Election - 8/7/18
Eric White	\$150.00	7/29/18	30 Day After Primary Election - 8/7/18
Mixologist, LLC	\$150.00	7/29/18	30 Day After Primary Election - 8/7/18
Calvin Kennedy	\$600.00	8/3/18	30 Day After Primary Election - 8/7/18
Last Days Apostolic Church	\$250.00	8/7/18	30 Day After Primary Election - 8/7/18
Withdrawal transfer to Franks, Bruce E xxxxxxxxxxx Share 0002	\$200.00	8/8/18	30 Day After Primary Election - 8/7/18
Carlos Ball	\$500.00	8/11/18	30 Day After Primary Election - 8/7/18
Bruce Franks, Jr.	\$350.00	8/14/18	30 Day After Primary Election - 8/7/18
Bruce Franks, Jr.	\$600.00	10/23/18	8 Day Before General Election - 11/6/18
Cameron Haynes	\$600.00	11/6/18	30 Day After General Election - 11/6/18
Ladell Dixon	\$600.00	11/6/18	30 Day After General Election - 11/6/18
Bruce Franks	\$200.00	11/13/18	30 Day After General Election - 11/6/18
Bruce Franks, Jr.	\$250.00	11/28/18	30 Day After General Election - 11/6/18
Bruce Franks, Jr.	\$200.00	11/28/18	30 Day After General Election - 11/6/18
Bruce Franks	\$150.00	11/29/18	30 Day After General Election - 11/6/18
Bruce Franks, Jr.	\$300.00	11/29/18	30 Day After General Election - 11/6/18
Victoria Lathan-Burce	\$250.00	12/4/18	January Quarterly 2019

Withdrawal transfer to Franks, Bruce E xxxxxxxxxx Share 0002	\$200.00	12/5/18	January Quarterly 2019
Bruce Franks Jr.	\$160.00	12/11/18	January Quarterly 2019
Rashad Buchannon	\$500.00	12/13/18	January Quarterly 2019
Victoria Lathan-Burce	\$350.00	12/13/18	January Quarterly 2019
Karissa Anderson	\$200.00	12/13/18	January Quarterly 2019
Bruce Franks Jr.	\$200.00	12/17/18	January Quarterly 2019
Tierra Wilson	\$300.00	12/17/18	January Quarterly 2019
MO Political Consulting	\$500.00	12/17/18	January Quarterly 2019
Arturo Smith	\$300.00	12/21/18	January Quarterly 2019
Derrall Tolen	\$400.00	12/21/18	January Quarterly 2019
Sheroes, Inc.	\$1,000.00	12/21/18	January Quarterly 2019
Bruce Franks Jr.	\$200.00	12/21/18	January Quarterly 2019
Check written to cash, signed by BF	\$300.00	12/22/18	January Quarterly 2019
Check written to cash, signed by BF	\$120.00	12/24/18	January Quarterly 2019
<b>Total</b>	<b>\$43,931.32</b>		

BEFORE THE  
MISSOURI ETHICS COMMISSION

**Filed**  
**NOV 07 2019**  
Missouri Ethics  
Commission

MISSOURI ETHICS COMMISSION,	)	
	)	
Petitioner,	)	
	)	Case No. 18-0082-I
v.	)	18-0089-I
	)	18-0091-I
BRUCE FRANKS JR.,	)	19-0001-A
	)	
and	)	
	)	
BRUCE FRANKS FOR STATE REP,	)	
	)	
Respondents.	)	

**CONSENT ORDER**

The parties have filed a Joint Stipulation of Facts, Waiver of Hearing, and Proposed Consent Order with the Missouri Ethics Commission. Accordingly, the Missouri Ethics Commission accepts as true the facts stipulated and finds that Respondents violated Sections 130.021.2, 130.021.4(1), 130.021.5, 130.021.7, 130.031.2, 130.031.6(4)(e), 130.034, 130.036.8, 130.041.1(2)-(5), 130.046.1, 130.046.5(2), and 130.050.3, RSMo.

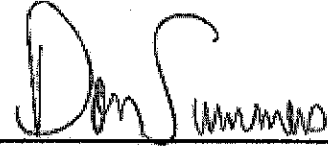
The Commission directs that the Joint Stipulation be adopted.

1. Respondent shall comply with all relevant sections of Chapter 130, RSMo.
2. It is the Order of the Missouri Ethics Commission that a fee is imposed against Respondents in the amount of \$89,105.00, pursuant to Section 105.961.4(6), RSMo. The Commission will stay imposition of \$74,936.00 of that fee on the condition that, beginning in January of 2020, Respondents pay a minimum of \$250.00 per month to the Missouri Attorney General's Office, on behalf of the Missouri Ethics Commission, until the entire remaining balance of \$14,169.00 is paid in full.
3. Regardless of the stay in paragraph 2 above, if there is probable cause to believe that any Respondent committed any further violation of the campaign finance laws under Chapter 130, RSMo, within the two-year period from the date of this order, then the Respondent who committed the violation will be required to pay the remainder of the fee. The fee will due immediately upon final adjudication finding that there was probable cause to believe such Respondent has committed such a violation.

4. Respondents shall be jointly and severally liable for all fees imposed under this order.

SO ORDERED this 7<sup>th</sup> day of November,  
2019

By:

A handwritten signature in black ink, appearing to read "Don Summers", written over a horizontal line.

Don Summers, Chair  
Missouri Ethics Commission